Academic Performance Indicators-2010 (4th Amendment, 2016)

(Please see detailed instructions of this PBAS Proforma before filling out this section)

Application for Promotion under CAS (11.07.2016 to till date)

(Notified in the Gazette of India 11 July 2016, ***No.F.1-2/2016(PS/Amendment)****)*

Application for
Assistant Professor Stage-II (Pay Scale Rs. 15600-39100 AGP Rs 7,000)/
Assistant Professor Stage-III (Pay Scale Rs. 15600-39100 AGP Rs 8,000)
Associated Professor Stage IV (Pay Scale Rs. 37400-67000 AGP Rs 9,000)
Professor Stage V (Pay Scale Rs. 37400-67000 AGP Rs 10,000)

PART A: PERSONAL DATA
(To be filled up by the Assistant Professor/Associate Professor)

01	Name (in BLOCK Letters)	:					
02	Father's/ Mother's Name/Husband Name	:					
03	Department	:					
04	Educational Qualification	:					
05	Current Designation and Academic Grade Pay	:					
06	Date of first Joining	:					
07	Which position and AGP (7000, 8000,9000 and 10,000) are you an applicant under CAS?	:					
08	Date of eligibility for promotion	:					
09	Date of Birth	:					
10	Sex	:	Male		Female		
11	Marital status	:					
12	Nationality	:					
13	Indicate whether belongs to SC/ST/OBC category	:					
14	Address for correspondence	:					
15	Permanent Address	:					
	Telephone No./ Mobile No.	:					

	Email ID	:	

16	**Academic Qualification :- (High School till Post Graduation) :**					
	Examinations	**Name of the Board/ University**	**Your of Passing**	**Division/ Class/ Grade**	**Subject/s**	**Rank and Remark if any**

17	**Research Degree (s) :**			
	Degrees	**Title**	**Date of Award**	**University**
	M. Phil.			
	Ph.D./ D.Phil.			
	D.Sc./ D.Litt.			

18	**Particulars of NET/JRF/SLET/GATE etc**					
	Name of the Test	**Name of the test conducting body**	**Month and year**	**Roll No.**	**Subject**	**Score, where applicable**

19	**Service Record**							
	Sr. No.	**Post/ Designation**	**Name of Employer**	**Date of**		**Pay Scale/ Pay Band & AGP**	**Reason of leaving**	**Major Achieve-ment**
				Joining	**Leaving**			

20	**Period of teaching experience**	:	
	a) P.G. Classes (in years)	:	
	b) U.G. Classes (in years)	:	
21	Research Experience excluding years spent in M.Phil./Ph.D. (in years)	:	
22	Fields of Specialization under the Subject/ Discipline (if any)	:	

PART B:

CATEGORY I : TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES AND THEIR API SCORE RESPECTIVELY (for Stage-1, 2 & 3).

Category	Nature of Activities	Max. Score	Actual Score	API Score Claim	API Score approved by IQAC
I	a. Direct Teaching	70/60/60	Actual hours spent per academic year ÷7.5 / 7.75 / 7.75		
	b. Examination duties (question paper setting, Invigilation, evaluation of answer scripts) as per allotment	20/20/10	Actual hours spent per academic year ÷10 / 10 / 10		
	c. Innovative Teaching – learning methodologies, updating of subject contents/courses, mentoring etc.	10/15/20	Actual hours spent per academic year ÷10 / 10 / 10		

CATEGORY II : PROFESSIONAL DEVELOPMENT, CO-CURRICULAR AND EXTENSION ACTIVITIES

Category II	Nature of Activity	Maximum API Score	Actual score	API Score Claim	API Score approved by IQAC
a.	Student related co-curricular, extension and field based activities. (i) Discipline related co-curricular activities (e.g. remedial classes, career counseling, study visit, student seminar and other events.) (ii) Other co-curricular activities (Cultural, Sports, NSS, NCC etc.) (iii) Extension and dissemination activities (public/popular lectures/talks/seminars etc.)	15	Actual hours spent per academic year ÷ 10		
b.	Contribution to corporate life and management of the department and institution through participation in academic and administrative committees and responsibilities. (i) Administrative responsibility (including as Dean/Principal/Chairperson/Converner/Teacher-in-charge/similar other duties that require regular office hrs for its discharge) (ii) Participation in Board of Studies, Academic and Administrative Committees	15	Actual hours spent per academic year ÷ 10		
c.	Professional Development activities (such as participation in seminars, conferences, short term training courses, industrial experience, talks, lectures in refresher/faculty development courses, dissemination and general articles and any other contribution)	15	Actual hours spent per academic year ÷ 10		
	Total Score :	45			

CATEGORY: III RESEARCH, PUBLICATION AND ACADEMIC CONTRIBUTIONS

Category - III Research and Academic Contributions

III (A) : Research papers (Journals, etc)

Sr. No.	Title with page no.	Journal	Year	ISSN/ISBN No.	Whether peer reviewed Impact factor, if any	No. of Co-authors (Excluding you)	Whether you are the main author	API Score Claimed	API Score approved by IQAC
	Total API-IIIA								

III B (i) Articles/Chapters Published in Books

S.N	Title with page no.	Book Title. editor & publisher	ISSN/ ISBN No.	Whether peer reviewed	No. of Co-authors (Excluding you)	Whether you are the main author	API Score Claimed	API Score approved by IQAC
	Total API-IIIB (i)							

III (C) Research Projects

III(C) (i) Sponsored Project

S.N	***Title***	*Agency*	*Period*	*Grant/ Amount Mobilized (Rs lakhs)*	*API Score Claimed*	*API Score Approved by IQAC*
	Total					

III(C) (ii) Consultancy Projects

S.N	Title	Agency	Period	Grant/ Amount Mobilized (Rs lakhs)	Whether Policy Documents/ Patent as outcome	API Score Claimed	API Score Approved by IQAC

III(C) (iii) Project outcome outputs

S.N	***Title***	*Major policy document prepared for international bodies like WHO/UNO/UNESCO/UNICEF etc.*	*Major policy document prepared for international bodies like Central/State Govt. / Local bodies*	*Grant/ Amount Mobilized (Rs lakhs)*	*API Score Claimed*	*API Score Approved by IQAC*

		Total					

	III(D) (i) & (ii) Research Guidance					
	S.N	Number Enrolled	Thesis Submitted	Degree Awarded	API Score Claimed	API Score approved
	M. Phil. or Equivalent					
	Ph. D. or Equivalent					

	III(E) (i) Fellowship Awards and invited lectures delivered in conferences / seminars				
	S.N.	Title & Year			API Score Claimed	API Score approved
		International Award	Fellowship from Academic Bodies	Associations		
		Total III (E) (i)				

	III (E) (ii) Invited Lectures / Papers						
	S.N.	Title of the Paper Presented / Invited Lectures	Title of Conference/ Seminar	Organized by	Whether international/National/State/Regional/ College or University level	API Score Claimed	API Score approved by IQAC
		Total III (E) (ii)					

The score under this sub-category shall be restricted to 20% of the minimum fixed for Category-III for any assessment period.

	III (F) Development of e-learning delivery process/material				
	S.N.	e-learning delivery process developed	e-learning material developed	API Score Claimed	API Score approved by IQAC
		Total III (E) (ii)			

Note : Wherever relevant to any specific discipline, the API score for paper in refereed journal would be augmented as follows: (i) paper with impact factor less than 1 - by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor between 5 and 10 by 20 points: (v) papers with impact factor above 10 by 25 points. The API for joint publications shall be calculated in the following manner: Of the total score for the relevant category of publication by the concerned teacher, the First and Principal / corresponding author /supervisor / mentor would share equally 70% of the total points and the remaining 30% would be shared equally by all other authors.

The parameters listed in table of category-III (Research and Academic contributions) in relation to the total API score claimed by the candidates: -

	sub- Category	**Total - API Score for Assessment Period**	**Annual Av. API Score for Assessment Period**	**API score approved by IQAC**
	III (A) : Research papers (Journals, etc)			
	III (B) : Articles/Chapters Published in Books			
	III (C) : Research Projects			
	III (D) : Research Guidance			
	III (E) : Fellowship Awards and invited lectures delivered in conferences / seminars			
	III (F) : Development of e-learning delivery process/material			
	Total API Score of Category III			

PART C:

OTHER RELEVANT INFORMATON

Please give details of any other credential, significant contributions, awards received etc not mentioned earlier.

LIST OF ENCLOSURES: (Please attach, copies of certificates, sanction orders, papers etc. wherever necessary)

Declaration by the applicant:

I certify that the information provided is correct as per records available with the Institute documents enclosed along with this duly filled PBAS performa.

Signature of faculty.....

Name.....

Designation.....

Report of Convener of IQAC

All the document submitted by Assistant Professor/ Associate Professor are verified and found authentic. The API Score mentioned above are correct.

.....	.....
Signature of the HOD.....	Signature of the Convenor of IQAC :
Name of the Department.....	Name of the Department.....
Place:	Place: .....
Date:	Date: .....

N.B.: The individual PBAS Performa for CAS promotion duly filled along with all enclosures, will be duly verified by the IQAC and shall be place before the Screening cum Evaluation Committee or Selection Committee for Promotion.

In case of the HOD / convener IQAC is not satisfied with the reporting by the teacher in the self - appraisals and thus not willing to certify (as mentioned above), then HOD/Convenor IQAC must record below the reasons in writing for not certifying the reported self- appraisal information as mentioned in PART -II (serial no. 23 to serial number 41)

.....	.....
Signature of the HOD.....	Signature of the Convenor of IQAC :
Name of the Department.....	Name of the Department.....
Place:	Place: .....
Date :	Date : .....

APPENDIX – III TABLE – II (A)

MINIMUM APIS AS PROVIDED IN APPENDIX – III TABLE – I TO BE APPLIED FOR THE PROMOTION OF TEACHERS UNDER CAREER ADVANCEMENT SCHEME (CAS) IN UNIVERSITY DEPARTMENTS AND COLLEGES, AND WEIGHTAGES FOR EXPERT ASSESSMENT

Category	Activity	Assistant Professor / Equivalent cadres : (Stage-1 to Stage-2)	Assistant Professor / Equivalent cadres : (Stage-2 to Stage-3)	Assistant Professor (Stage-3) to Associate Professor / Equivalent Cadre (Stage-4)	Associate Professor (Stage-4) to Professor / Equivalent cadres (Stage-5)	Professor (Stage-5 to) Professor (Stage-6)
I	Teaching-learning Evaluation Related Activities	80/Year	80/Year	75/Year	70/Year	70/Year
II	Professional Development and Extension activities -Minimum score required to be assessed cumulatively	50/ Assessment Period	50/ Assessment Period	50/ Assessment Period	50/ Assessment Period	100/ Assessment Period
III	Research and Academic Contributions – Minimum Score required – to be assessed cumulatively	20/ Assessment Period	50/ Assessment Period	75/ Assessment Period	100/ Assessment Period	400/ Assessment Period
II+III	Minimum total API score under Categories-II and III*	90/ Assessment Period	120/ Assessment Period	150/ Assessment Period	180/ Assessment Period	600/ Assessment Period
IV	Expert Assessment System	Screening cum evaluation committee	Screening cum evaluation committee	Selection Committee	Selection Committee	Expert Committee
V	Percentage Distribution of Weightage Points in the Expert Assessment (Total weightage = 100. Minimum required for promotion is 50)	No separate points screening committee to verify API scores	No separate points screening committee to verify API scores	30%- Research Contribution 50% - Assessment of domain knowledge & teaching practices. 20%-Interview performance	50% Research Contribution 30%- Assessment of domain knowledge & teaching practices. 20%-Interview performance	50%- Research Contribution 50%- Performance evaluation and other credential by referral procedure

* Teachers may score the balance of points either Category-II or Category-III to achieve the minimum score required under category-II + III.